

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted With Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	36992.00107 (HAL 265)
	First Named Inventor	Wilson Sing-Hei So
	COMPLETE IF KNOWN	
	Application Number	Unknown
	Filing Date	March 18, 2004
	Art Unit	Unknown
	Examiner Name	Unknown

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR CONDUCTING A
SECURE TRANSACTION VIA A NETWORK**

the specification of which (Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT International

Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:


[Page 1 of 3]

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number 30256 OR <input type="checkbox"/> Correspondence address below			
Name			
Address			
City		State	
Country		ZIP	
Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Wilson Sing-Hei		So	
Inventor's Signature 		Date March 8, 2004	
Residence: City		State	
Cupertino		CA	
Country		Citizenship	
USA		Canada	
Mailing Address			
10295 N. Blaney Avenue			
City		State	
Cupertino		CA	
Zip		Country	
95014		USA	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Yoichi		Shintani	
Inventor's Signature		Date	
Residence: City		State	
Palo Alto		CA	
Country		Citizenship	
USA		Japan	
Mailing Address			
4177 Georgia Avenue			
City		State	
Palo Alto		CA	
Zip		Country	
94306		USA	
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
Page 3 of 3	

Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Tomohisa		Kohiyama	
Inventor's Signature		Date	
Residence: City	Sunnyvale	State	CA
		Country	USA
Mailing Address		1298 W. Knickerbocker Drive	
Mailing Address			
City	Sunnyvale	State	CA
		ZIP	94087
		Country	USA
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
		Country	
Mailing Address			
Mailing Address			
City		State	
		Zip	
		Country	
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Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
		Country	
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PTO/SB/01 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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	Filing Date	March 18, 2004	
	Art Unit	Unknown	
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				YES	NO
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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DECLARATION — Utility or Design Patent Application

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Name							
Address							
City			State			ZIP	
Country				Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Wilson Sing-Hei		Family Name or Surname	
Inventor's Signature						Date	
Residence: City			State		Country		Citizenship
Cupertino			CA		USA		Canada
Mailing Address							
10295 N. Blaney Avenue							
City			State		Zip		Country
Cupertino			CA		95014		USA
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Yoichi		Family Name or Surname	
Inventor's Signature				新谷 洋一		Date	
						03/08/2004	
Residence: City			State		Country		Citizenship
Palo Alto			CA		USA		Japan
Mailing Address							
4177 Georgia Avenue							
City			State		Zip		Country
Palo Alto			CA		94306		USA
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.							

DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

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Given Name (first and middle [if any])		Family Name or Surname	
Tomohisa		Kohiyama	
Inventor's Signature		Date	
Residence: City Sunnyvale	State CA	Country USA	Citizenship Japan
Mailing Address 1298 W. Knickerbocker Drive			
Mailing Address			
City Sunnyvale	State CA	ZIP 94087	Country USA
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
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Inventor's Signature		Date	
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Mailing Address			
Mailing Address			
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(37 CFR 1.63)**

☒ Declaration Submitted With Initial Filing **OR** ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 36992.00107 (HAL 265)

First Named Inventor Wilson Sing-Hei So

COMPLETE IF KNOWN

Application Number Unknown

Filing Date March 18, 2004

Art Unit Unknown

Examiner Name Unknown

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				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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City		State		ZIP	
Country		Telephone		Fax	
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NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name So or Surname		
Wilson Sing-Hei					
Inventor's Signature			Date		
Residence: City		State	Country	Citizenship	
Cupertino		CA	USA	Canada	
Mailing Address					
10295 N. Blaney Avenue					
City		State	Zip	Country	
Cupertino		CA	95014	USA	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name Shintani or Surname		
Yoichi					
Inventor's Signature			Date		
Residence: City		State	Country	Citizenship	
Palo Alto		CA	USA	Japan	
Mailing Address					
4177 Georgia Avenue					
City		State	Zip	Country	
Palo Alto		CA	94306	USA	
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					

DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Page 3 of 3

Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Tomohisa		Kohiyama	
Inventor's Signature <i>Tomohisa Kohiyama</i>		Date <i>Mar. 4, 2004</i>	
Residence: City	Sunnyvale	State	CA
Country	USA	Citizenship	Japan
Mailing Address 1298 W. Knickerbocker Drive			
Mailing Address			
City	Sunnyvale	State	CA
ZIP	94087	Country	USA
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
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Mailing Address			
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**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	Unknown
Filing Date	March 18, 2004
First Named Inventor	Wilson Sing-Hei So
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	36992.00107 (HAL 265)

I hereby appoint:

☐ Practitioners at Customer Number

OR

☒ Practitioner(s) named below:

Name/Registration Number

Marc A. Sockol, Reg. No. 40,823; Vidya R. Bhakar, Reg. No. 42,323; Cameron K. Kerrigan, Reg. No. 44,826; David B. Abel, Reg. No. 32,394; Nathan Lane III, Reg. No. 43,738; Michael A. Lechter, Reg. No. 27,350; David E. Rogers, Reg. No. 38,287; William R. Bachand, Reg. No. 34,980; William C. Steffin, Reg. No. 26,811; Les J. Weinstein, Reg. No. 19,625; Aaron Winger, Reg. No. 45,229; Paul J. Meyer 47,791; Victor Repkin, Reg. No. 45,039; Alexander B. Ching, Reg. No. 41,669; Douglas H. Goldhush, Reg. No. 33,125; Kevin F. Turner, Reg. No. 43,437; Dinnatia J. Doster, Reg. No. 45,268; Charles E. Runyan, Reg. No. 43,066; Stuart A. Whittington, Reg. No. 45,215; Sung I. Oh, Reg. No. 45,583; and Donnie L. Kidd, Reg. No. 50,285.

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number:

OR

☒ The address associated with Customer Number: 30256

OR

☐ Firm or
Individual Name

Address

City

State

ZIP

Country

Telephone

Fax


I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Wilson Sing-Hei So

Signature 

Date

3/8/2004 Mar 8, 2004

Telephone

650-244-7212

SIGNATURE of Applicant or Assignee of Record

Name Yoichi Shintani

Signature

Date

Telephone

650-244-7212

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than two signatures are required, see below*.

☒ *Total of 8 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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☐ Firm or
Individual Name

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Wilson Sing-Hei So

Signature

Date

Telephone

650-244-7212

SIGNATURE of Applicant or Assignee of Record

Name Yoichi Shintani

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Date

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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than two signatures are required, see below*.

☒ *Total of 2 forms are submitted.

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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	Unknown
	Filing Date	March 18, 2004
	First Named Inventor	Wilson Sing-Hei So
	Art Unit	Unknown
	Examiner Name	Unknown
	Attorney Docket Number	36992.00107 (HAL 265)

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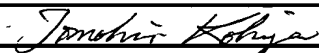
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Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record			
Name	Tomohisa Kohiyama		
Signature			
Date	Mar. 14 / 2004	Telephone	650-244-7212

SIGNATURE of Applicant or Assignee of Record			
Name			
Signature			
Date		Telephone	

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